

## 24 Carat Financial Services – Research Analyst

### RISK PROFIL FORM

Name:-	PAN No:-
DOB:-	Aadhaar:-
Father's:-	Mother's Name:-
Mobile Number:-	Address:-
State:-	E-mail id

Risk profiling is very important before starting investments. On the basis of risk profiling it is Decided which services are suitable to you. Request you kindly complete all the details fully.

### RISK TOLERANCE QUESTIONNAIRE

SR.No	Question	Option	Weightage
1.	Age Range	-18 to 30 Years -30 to 45 years -45 to 50 Years - Above 50	(4) (3) (2) (1)
2.	Trading Experience	-0 to 1 Year -1 to 2 Years -3 to 5 Years -Above 5 Year	(1) (2) (3) (4)
3.	Where you Found yourself in knowledge of financial Markets like Equity, Commodity, Currency and their Derivatives?	-Beginner -Fair -Good -Experts	(1) (2) (3) (4)
4.	Occupation	-Salaried- Private -Salaried- Public -Business & Profession -Pensioner	(2) (4) (3) (1)
5.	How much Percentage of your Monthly income you pay in EMI?	-0 to 5% -5 to 20% -20 to 50% -Above 50%	(4) (3) (2) (1)
6.	How many family members are dependent on your Income?	-None -1 to 3 Person - 3 to 6 Person - Above 6	(4) (3) (2) (1)
7.	Income	-Below 2 Lacs -2 to 5 Lacs -5 to 10 Lacs -Above 10 Lacs	(1) (2) (3) (4)
8.	Investment Objective	-Intraday -Short Term Investment -Long Term Investment -Hedging	(4) (3) (2) (1)

**Client Signature**

9.	What is the first Thought to Cross your Mind, When you Invest your Money?	-I Should Not Lose my money. -It Should not turn out to be a bad investment. -It Should turn out to be a good Investment. -I Know this is a good Decision.	(1) (2) (3) (4)
10.	What do Normally associate with the word risk?	-Danger -Thrill -Uncertainty -Opportunity	(1) (2) (3) (4)
11.	To what extent would you expose your investment to risk, to earn higher returns?	-Not at all -About 20% -20 to 40% -More than 50%	(1) (2) (3) (4)
12.	How would you feel if the performances of your recent investment are below expectations?	-Very Upset -Little upset, but hopes that it will improve in future. -Little upset but willing to take continue my investment -Not upset because i know that all investment carry risk.	(1) (2) (3) (4)
13.	Would you invest in a company that underperformance in the past and caused you losses?	-Definitely not -May be, but i am not sure -Perhaps I will -Definitely yes	(1) (2) (3) (4)

**Risk Profiling Score**

Risk Category	Risk Score Range
Low Risk	Less than 17
Medium Risk	Between 17-34
High Risk	Above 34

**Final Score**

Risk Score	Risk Category

**Declaration**

I Declare that the information provided in this questionnaire is true and accurate to the best of my knowledge. I undertake to inform the Research Analyst in writing as soon as possible of any changes to this information. I Acknowledge that the Research Analyst takes no responsibility or liability for any acts or omissions resulting from the provision of incomplete or inaccurate information.

**Name of client/Authorized Sign**

**Date:-.....**